



Audit Committee

Sarah McGill – Corporate Director for People & Communities



Gweithio dros Gaerdydd, gweithio gyda'n gilydd
Working for Cardiff, working together

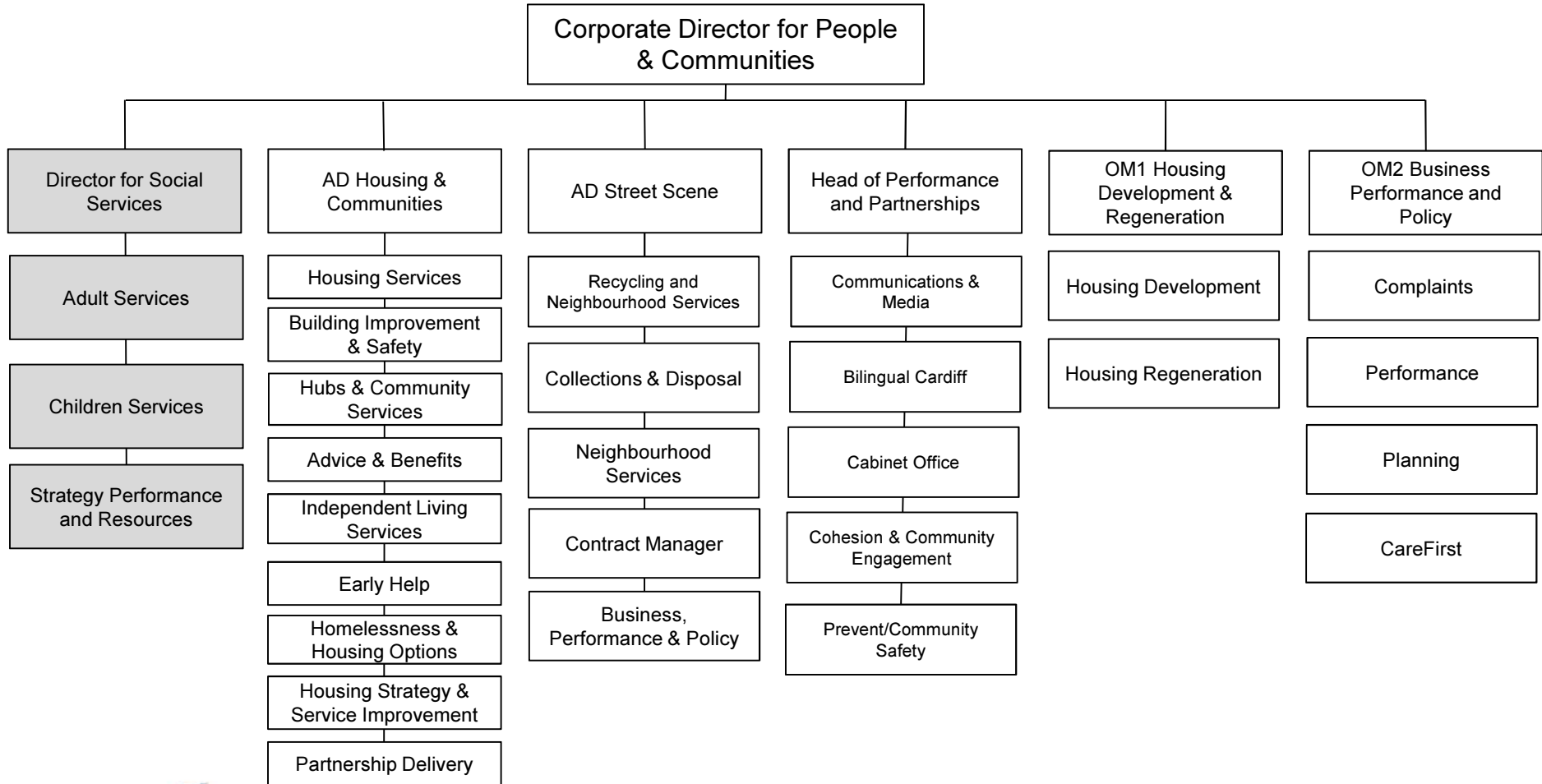


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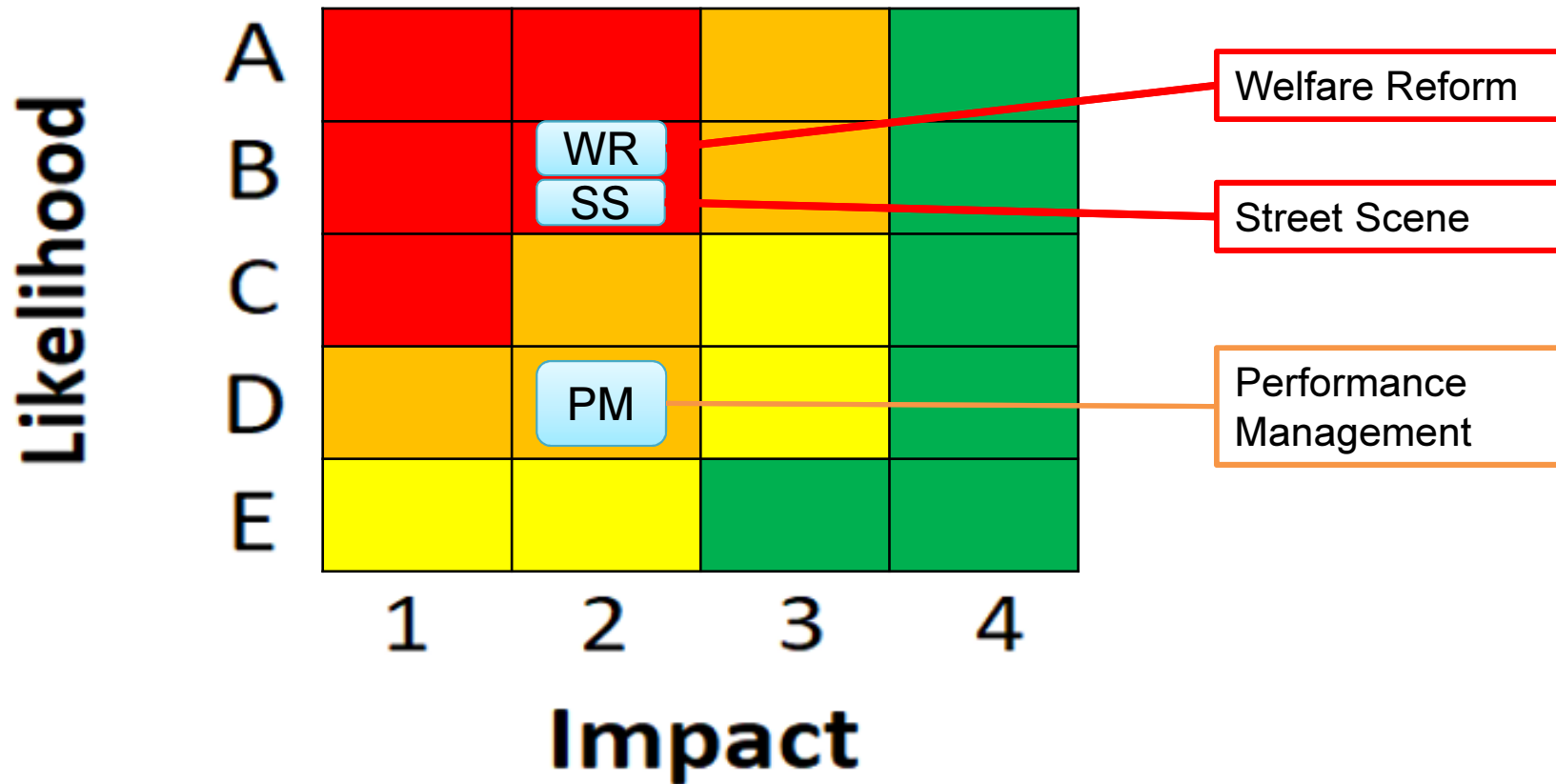
- Establishment
- Risks
- Senior Management Assurance Statement
- Audit



Establishment



Corporate Risk Register



Director Risks

- Outside of the Corporate Risk Register, Directorate Risks are managed at directorate level.
- Below this each area has an Operational Risk Register.
- All risks are updated when significant changes take place to ensure they are live. However, a complete review and reflect on the current risks place quarter.

Ref	Risk Description	Parent Directorate	Area	Process Owner	Area	Parent Directorate	Area	Area	Area	Area	Area

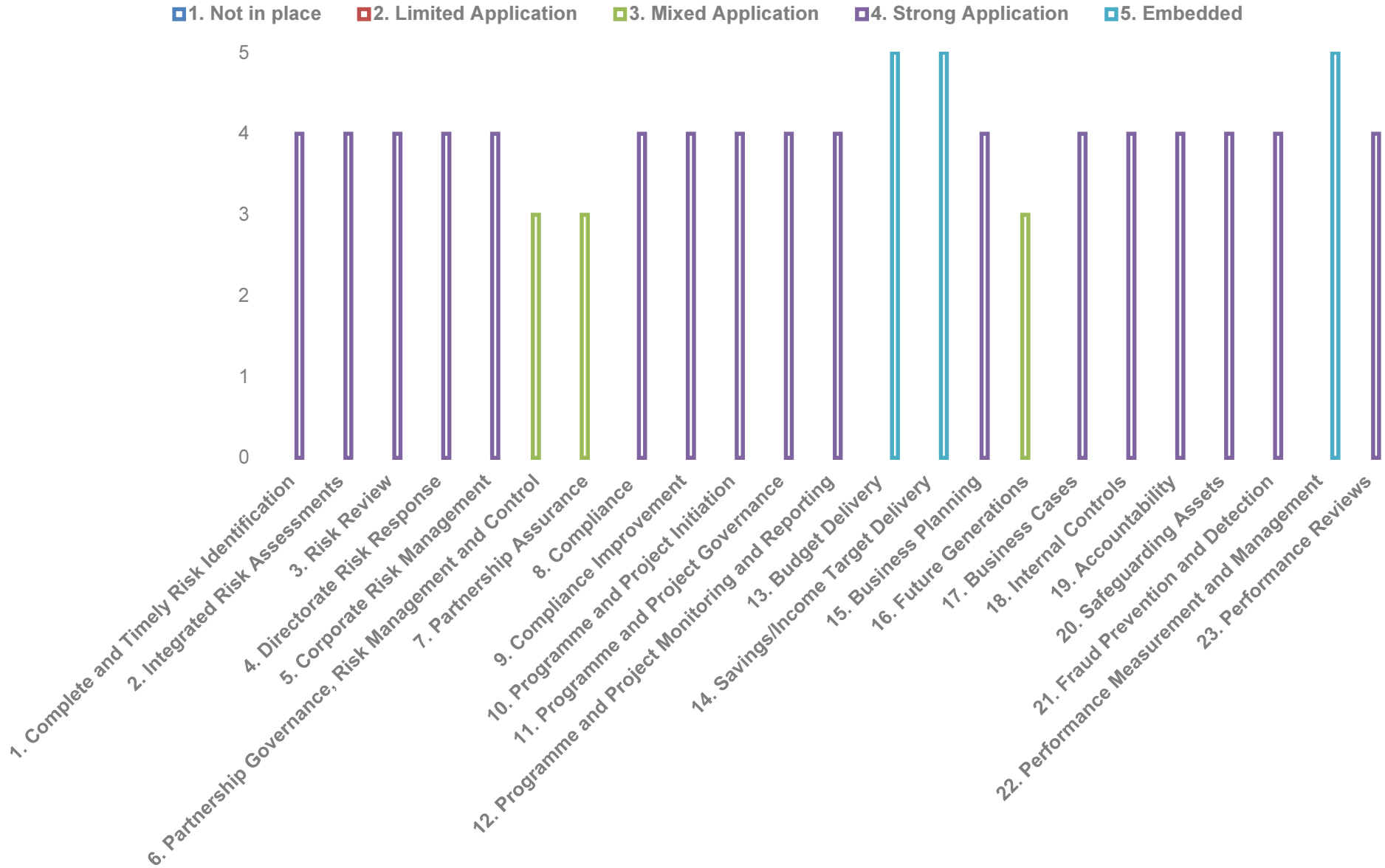
Directorate	Internal Risk	External (General) Risk	Target Risk	Risk Rating

Performance Management		Target Risk		Risk Register	

Ref	Risk Description	Parent Directorate	Area	Process Owner	Area	Parent Directorate	Area	Area	Area	Area	Area

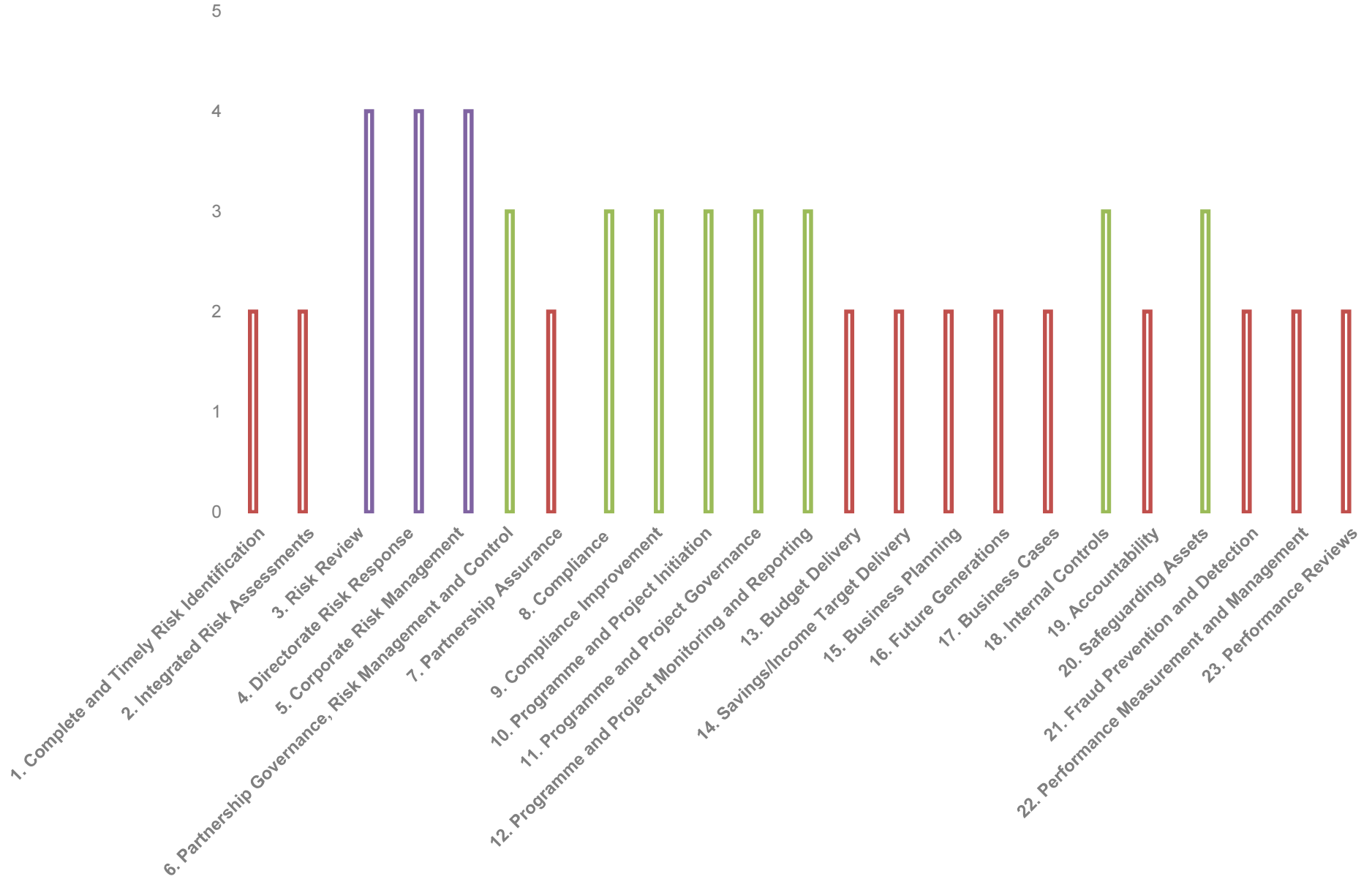


Senior Management Assurance Statement: Housing & Communities, Performance & Partnerships, Housing Development



Senior Management Assurance Statement: Street Scene

1. Not in place 2. Limited Application 3. Mixed Application 4. Strong Application 5. Embedded



Internal Audit – Housing & Communities, Housing Development, Performance & Partnerships

Audit	Report Status	AUDIT OPINION	Outstanding Actions	Completed Actions	Total Actions	Implementation Status
2018/19						
Effective decision making	Final	Effective	0	0	0	Completed
Commissioning and procurement	Final	Effective with opp for improv.	0	5	5	Completed
Communities First	Final	Effective with opp for improv.	0	2	2	Completed
Budgeting and forecasting	Final	Effective	0	0	0	Completed
2019/20						
Communities - partnerships and collaborative governance	Final	Effective with opp for improv.	0	1	1	Completed
Responsive Repairs	Final	Effective with opp for improv.	0	2	2	Completed
Into Work grant streams	Final	Effective with opp for improv.	4	0	4	Not yet due
Bilingual Cardiff	Final	Effective with opp for improv.	2	1	3	Not yet due
Housing Rents	Final	Effective with opp for improv.	2	1	3	Not yet due
C&V Regional Partnership Board	Draft	Effective with opp for improv.	0	0	4	Not yet due
Joint Equipment Stores	Draft	Effective with opp for improv.	0	0	4	Not yet due
Housing Benefit and Local Housing Allowance	Final	Effective with opp for improv.	4	0	4	Not yet due
Risk Based Verification	Draft	Consultation Engagement (no opinion provided)				Engagement closed



Internal Audit – Street Scene

Audit	Report Status	AUDIT OPINION	Outstanding Actions	Completed Actions	Total Actions	Implementation Status
2018/19						
CWRC and Gatehouse	Final	Consultation Engagement (no opinion provided)				Engagement closed
Acting up payments at Lamby Way	Final	Insufficient with major improv needed	1	9	10	Not yet due
Lamby Way stores	Final	Unsatisfactory	2	8	10	Not yet due
Lamby Way Income and banking control procedures	Final	Insufficient with major improv needed	0	7	7	Completed
2019/20						
Waste Management Contracts	Final	Unsatisfactory	10	15	25	Not yet due
Gatehouse	Final	Insufficient with major improv needed	6	8	14	Not yet due
Stock take observation - Lamby Way	Final	Effective with opp for improv.	5	0	5	Not yet due
Bulky Waste collection	Final	Effective with opp for improv.	5	0	5	Not yet due
Fly Tipping	Draft	Unsatisfactory	0	0	15	Not yet due

